



# Tetra has completed its inaugural AML Effectiveness Review with excellent results.

Read more below to find out what this means for our custody solution.

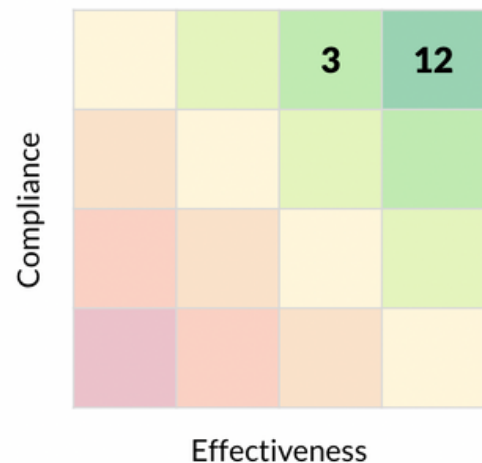
## Summary of Results

An AML effectiveness review tests the AML controls of a business to ensure they meet FINTRAC standards. In May of 2023, Tetra completed its review with most tested criteria being awarded the best scores. This means our controls were both effective at stopping money laundering and compliant to FINTRAC standards to the highest degree. Therefore, you can rest assured that Tetra's AML program aligns with your regulatory needs.

## Other Controls

Beyond our AML controls, Tetra ensures every aspect of the business has industry-leading oversight and governance. Other key tests of confidence in our controls include SOC 2 Type 2 audits, penetration tests, and financial audits including semi-annual proof of reserves.

### AML Assessment Matrix



Tetra was tested against 15 criteria. Most were effective and compliant with the highest possible score.

## More questions? Reach out.

[sales@tetra-trust.com](mailto:sales@tetra-trust.com)

### Steve Oliver, CCO and Chief of Staff

Steve has 20+ years experience in financial markets and has held previous senior risk management and compliance roles at BP based out of Calgary, London, and Singapore. He is a CFA charterholder and is also a sessional instructor at the University of Calgary.

